Describing Reproductions: A Series of Decisions

OLAC 2010
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Kevin Furniss, Tulane University
Morag Boyd, Ohio State University
Thanks to OLAC

- We would like to thank OLAC for the Research Grant that supports this work
Why this topic?

- Kevin: serials cataloger at Tulane
- Morag: special collections cataloger at Ohio State University
What is a reproduction?

A reproduction is an item that is a copy of another item and is intended to function as a substitute for that item. The copy may be in a different physical format from the original. Reproduction is a mechanical rather than an intellectual process. Due to the particular mechanical process used to create it, physical characteristics of the reproduction, such as color, image resolution, or sound fidelity may differ from those of the original. Reproductions are usually made for such reasons as the original's limited availability, remote location, poor condition, high cost, or restricted utility.

(Johnson, Guidelines for Bibliographic Description of Reproductions, 1995, p.1)
Current Approaches to Reproductions

- Practice varies widely, but typically
  - Microforms cataloged using the original as the unit of description, with reproduction details in 533
  - Electronic resource itself is the unit of description, with reference to other available formats
  - Facsimile itself is unit of description, with reference to the original
Historical Background

- Cataloging philosophy
  - "Simonton Report" to ARL in 1962 identifies 2 approaches
    - Facsimile theory = catalog the content
    - Edition theory = catalog the container
  - AACR follows the facsimile theory
    - Directs cataloger to describe the original and make notes for reproduction
A Great Schism

- AACR2 (1978) adopts the edition theory
  - Describe piece in hand; add notes for the original
- The United States Library community resists
  - “An obsession with principle to the exclusion of common sense”
  - LC and CC:DA affirm facsimile approach
- The rest of the world makes the change
LC current practice

LCRI 11
For microform reproductions of previously published materials and for microform dissertations, Library of Congress policy is noted below.

1) Transcribe the bibliographic data appropriate to the original work …

LCRI 1.11A
Follow these guidelines for reproductions of previously existing materials that are made for: preservation purposes in formats other than microforms; non-microform dissertations and other reproductions produced "on demand"; and, electronic reproductions.
If only it were that easy

- LCRI 1.11A continues “For some electronic reproductions, however, LC may delineate details of the reproduction on the record for the original manifestation rather than create a separate record for the reproduction”

- “The Provider-Neutral E-Monograph proposal is intended to encompass records for monographic titles that are simultaneously issued in print and online, digital reproductions of print resources, and born-digital resources.”
SOME EXAMPLES:
<table>
<thead>
<tr>
<th>Data element (MARC 21)</th>
<th>Current practice</th>
</tr>
</thead>
<tbody>
<tr>
<td>Leader</td>
<td>Reproduction</td>
</tr>
<tr>
<td>007 Physical description fixed field</td>
<td>Reproduction</td>
</tr>
<tr>
<td>008 Type of date</td>
<td>Reproduction value used</td>
</tr>
<tr>
<td>008 Dates</td>
<td>Reproduction (Date 1) and Original (Date 2)</td>
</tr>
<tr>
<td>008 Place of publication, etc.</td>
<td>Original (place of reproduction could be coded in 533 $7, but this subfield is not used at LC )</td>
</tr>
<tr>
<td>008 Form of item</td>
<td>Reproduction</td>
</tr>
<tr>
<td>008 All other positions</td>
<td>Would reflect both original and reproduction</td>
</tr>
<tr>
<td>245 Title</td>
<td>Original, with addition of GMD in $h (Title, etc., of reproduction, if different could be recorded elsewhere in the description)</td>
</tr>
<tr>
<td>250 Edition</td>
<td>Original (Edition of reproduction not recorded)</td>
</tr>
<tr>
<td>Data element (MARC 21) Current practice RDA 260 Publication, etc.</td>
<td>Original (Publication, etc., information of reproduction in 533$bcd)</td>
</tr>
<tr>
<td>300 Physical description</td>
<td>Original (Extent of reproduction in 533$e)</td>
</tr>
<tr>
<td>490 Series statement</td>
<td>Original (Series of reproduction in 533$f and 8XX (if used))</td>
</tr>
<tr>
<td>533 Reproduction note</td>
<td>Data pertinent to reproduction, including notes, etc.</td>
</tr>
<tr>
<td>775 Other edition entry</td>
<td>LC monographs have used only $c Original and $w (LCCN of original), and not made a reciprocal link on the record for the original; practices for serials and integrating resources may vary</td>
</tr>
<tr>
<td>776 Additional physical form entry</td>
<td></td>
</tr>
</tbody>
</table>
Example: Microform reproduction

776 1 ‡c Original ‡w (DLC) 20000009 ‡w (OCoLC) 5593943
Example: Microform, cont.

- In theory, could have 776, but this is rarely seen. LC formats as follows

  776 1 ‡c Original ‡w (DLC)  20000009 ‡w (OCoLC) 5593943

- Bonus tip: In OCLC, use “insert from cited”

  776 1 De Laney, Paul, d. 1946. ‡t toll of the sands. ‡b 1st ed. ‡d Denver, Smith-Brooks Print. Co., [c1919] ‡z 0761534296 ‡w (DLC)  20000001 ‡w (OCoLC)5593943
Example: Electronic Reproduction
But not always …

- In some cases, we are directed to catalog the reproduction as the unit of description
Example: Vendor Neutral E-Book
Example: No existing record

- Speaking of maps, Thomas & Chavez (2009) reason “if a record does not exist for the original, the cataloger must create one for the photoreproduction” because
  - The original is not already cataloged
  - The cataloger has no access to original
  - The reproduction may vary in significant ways
- In this case, provide a 534 to note the original
007  a  b  j  d  a  e  a  f  z  g  b  h  a
040  VIC  d  VIC  d  VIC
034  1  a  b  600
043  n-us-va
052  3884  b  R5
090  G3884.R5E644  1961  b  .H3
100  1  Harrison, J. G.
245  1  0  Topographic map of Capitol Square, Richmond, Virginia / d  J.G. Harrison, topographer ; Richmond Department of Public Works and Virginia State Planning Board.
246  3  0  Capitol Square, Richmond, Virginia
255  Scale 1:600. 1 in. = 50 ft.
260  [Richmond : d  Division of the Budget?], d  c  1961.
300  1 map : d  diazotype ; d  c  56 x 73 cm., on sheet 64 x 81 cm.
500  Dated 1935.
500  [Some notes removed from this screenshot]
534  p  Original version: d  1935. d  e  col. d  Located in both the Charles F. Gillette papers collection and the map collection at the Library of Virginia]
651  0  Capitol Square (Richmond, Va.) d  Designs and plans.
651  0  Capitol Square (Richmond, Va.) d  Maps, Topographic.
Facsimiles

- Generally not considered reproductions
- Often have a change in format
  - Manuscript to book, for example
- Often have supplementary materials
- New title page

- Facsimile is a form subdivision, which helps bring out this aspect
Example: Facsimile

Material d’histoire topographique de l’histoire de l’Ostrovskoye monastyr’ i kih u Rossiskoi Imperii 4 b (s bibliograficheskimi ukazaniami) / 4 c. sost. V.V. Zverinskii.

Pravoslavnye monastyry v Rossiskoi Imperii

Facsimile reprint of the 1890-1897 edition with a new introduction.

Includes bibliographical references and index.

1. Preshchernitsi, i uchenye izuchenii novykh monastyr’ s 1784-95 po It’vna 1893 g. — 2. Monastyr po stolatam 1784, 1786 i 1785 g. — 3. Monastyr zaizvestky do 1786 i ikh izuchennii i Ekateriny II.

Monastery, Orthodox Eastern, Russia, Guidebooks.

Converts to Russian, Guidebooks.

Ruskeal’ “pravoslavna” 4 “Sarkov” f f Bibliography.
A case in point

- Imagine we have a dissertation
  - Print
  - Electronic copy in Institutional Repository
  - Microform
  - UMI “Dissertation on demand”
  - Electronic copy in a ProQuest Dissertations & Theses
End result

- Catalogers are confused
  - What is that I am actually cataloging?
  - When is a reproduction a reproduction?
    - Reprints
    - Reissues
    - Republications
    - E-books
  - If it is a reproduction, how am I supposed to treat it?
- Users are even more confused
LC Scoops Us!

- Kevin and Morag were considering cataloging or reproductions, including consideration of FRBR and RDA when LC releases “Reconsidering the cataloging treatment of reproductions” 4/29/10
FRBR and Reproductions

- Reproduction relationships are generally “medium value” for user tasks
- Reproduction relationships are usually
  - Manifestation-to-manifestation
    - reproduction relationship may involve varying degrees of fidelity to a previous *manifestation* (p.74)
  - Item-to-item
    - the replication of one *item* from another always results in an *item* of the same physical characteristics as the original. (p.77)
  - Item-to-Manifestation
RDA and Reproductions

- RDA based on FRBR principles
- RDA follows the lead of AACR2 and recommends description based on piece in hand: the reproduction
Reconsiders cataloging treatment of reproductions

Asserts that this decision can be made independently of RDA implementation decision

RDA test will be used to evaluate the recommended approach
Describe original

- **Pros:**
  - Useful to people unaware of reproduction
  - Easy for catalogers to “clone” records

- **Cons:**
  - Users may not realize they are looking at a record for reproduction
  - Cataloging is not accurate for item in hand
  - No one else does it this way
Describe the reproduction

□ Pros:
  ■ WYSIWYG philosophy
  ■ Clearly distinguishes reproductions
  ■ Useful to people aware of reproduction
  ■ Be like everyone else

□ Cons:
  ■ Inconsistent with past practice
Recommendation

- Adopt the AACR2/RDA approach of describing the reproduction and record the relationship to the original
Recording the Relationship

- LC recognizes that the catalog record needs to be more explicit about the reproduction relationship.

- Three options:
  - 500 general notes
  - 533/534 reproduction/original version notes
  - 775/776 other edition/ additional physical format notes
General note option

- Could use 500 …
- But that would not be readily indexed, processed, reused, etc.
- Fortunately, not recommended
- *Often done now for reprints and facsimiles, which might also benefit from structured notes*
533/534

- Offers a more structured option
- 533 would be used almost opposite of current use. Include on record for original to indicate existence of reproductions
- 534 on record for reproduction as a structured description of the original
  - Actually a more fully featured field than 533
533 Reproduction Note

*Note:* A “relationship identifier” (reproduced as) would likely need to be recorded in $a$ along with information about the type of reproduction.

- **$a** - Type of reproduction (NR)
- **$b** - Place of reproduction (R)
- **$c** - Agency responsible for reproduction (R)
- **$d** - Date of reproduction (NR)
- **$e** - Physical description of reproduction (NR)
- **$f** - Series statement of reproduction (R)
- **$m** - Dates and/or sequential designation of issues reproduced (R)
- **$n** - Note about reproduction (R)
- **$3** - Materials specified (NR)
- **$5** - Institution to which field applies (NR)
- **$7** - Fixed-length data elements of reproduction (NR)
  - /0 - Type of date/Publication status
  - /1-4 - Date 1
  - /5-8 - Date 2
  - /9-11 - Place of publication, production, or execution
  - /12 - Frequency
  - /13 - Regularity
  - /14 - Form of item
- **$6** - Linkage (NR)
- **$8** - Field link and sequence number (R)
534 Original Version Note

Note: A “relationship identifier” (reproduction of (manifestation)) would likely need to be recorded in $p.$

$a$ - Main entry of original (NR)
$b$ - Edition statement of original (NR)
$c$ - Publication, distribution, etc. of original (NR)
$e$ - Physical description, etc. of original (NR)
$f$ - Series statement of original (R)
$k$ - Key title of original (R)
$l$ - Location of original (NR)
$m$ - Material specific details (NR)
$n$ - Note about original (R)
$o$ - Other resource identifier (R)
$p$ - Introductory phrase (NR)
$t$ - Title statement of original (NR)
$x$ - International Standard Serial Number (R)
$z$ - International Standard Book Number (R)
$3$ - Materials specified (NR)
$6$ - Linkage (NR)
$8$ - Field link and sequence number (R)
775/776 option

- Another structured option
- May be used reciprocally between records for original and reproduction
- Designed for linking
- Would be a cleaner break from past practice
- Therefore, recommended by LC
775 - Other Edition Entry

First Indicator *(Note controller)*

0 - Display note
1 - Do not display note

Second Indicator *(Display constant controller)*

# - Available in another form
8 - No display constant generated

$a$ - Main entry heading (NR)
$b$ - Edition (NR)
$c$ - Qualifying information (NR)
$d$ - Place, publisher, and date of publication (NR)
$e$ – Language code (NR)
$f$ – Country code (NR)
$g$ - Related parts (R)
$h$ - Physical description (NR)
$i$ - Relationship information (R)
$k$ - Series data for related item (R)
$m$ - Material-specific details (NR)
$n$ - Note (R)

$o$ - Other item identifier (R)
$r$ - Report number (R)
$s$ - Uniform title (NR)
$t$ - Title (NR)
$u$ - Standard Technical Report Number (NR)
$w$ - Record control number (R)
$x$ - International Standard Serial Number (NR)
$y$ - CODEN designation (NR)
$z$ - International Standard Book Number (R)
$4$ - Relationship code (R)
$6$ - Linkage (NR)
$7$ - Control subfield (NR)

/$0$ - Type of main entry heading
/$1$ - Form of name
/$2$ - Type of record
/$3$ - Bibliographic level
$8$ - Field link and sequence number (R)
776 - Additional Physical Form Entry

First Indicator *(Note controller)*
0 - Display note
1 - Do not display note

Second Indicator *(Display constant controller)*
# - Available in another form
8 - No display constant generated

$a$ - Main entry heading (NR)
$b$ - Edition (NR)
$c$ - Qualifying information (NR)
$d$ - Place, publisher, and date of publication (NR)
$g$ - Related parts (R)
$h$ - Physical description (NR)
$i$ - Relationship information (R)
$k$ - Series data for related item (R)
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$n$ - Note (R)

$o$ - Other item identifier (R)
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$z$ - International Standard Book Number (R)
$4$ - Relationship code (R)
$6$ - Linkage (NR)
$7$ - Control subfield (NR)

/0 - Type of main entry heading
/1 - Form of name
/2 - Type of record
/3 - Bibliographic level

$8$ - Field link and sequence number (R)
Related recommendations

☐ For monographs, record the relationship to the original on the reproduction record only

☐ For serials, record relationship reciprocally

☐ Use relationship language “reproduction of [manifestation]” and “reproduced as” in $i$

☐ Consider the agency making the reproduction to be the publisher, unless otherwise determined
Possible changes

- LC will investigate appropriate indexing and display for their ILS
- LC will consult with MARBI to determine if any MARC changes are required
  - E.g. specify RDA appendix J or other controlled vocabulary for 775/776 $i and/or $4
  - Scope notes for 775/775
- LC will assess feasibility of converting existing records
<table>
<thead>
<tr>
<th>Data element (MARC 21)</th>
<th>RDA</th>
</tr>
</thead>
<tbody>
<tr>
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<td>Reproduction (Date 1) and Original (Date 2)</td>
</tr>
<tr>
<td>008 Place of publication, etc.</td>
<td>Reproduction (place of original could be coded in 044 if scope changes made in MARC)</td>
</tr>
<tr>
<td>008 Form of item</td>
<td>Reproduction</td>
</tr>
<tr>
<td>008 All other positions</td>
<td>Would reflect both original and reproduction</td>
</tr>
<tr>
<td>245 Title</td>
<td>Reproduction; content type, media type and carrier type used instead of GMD (Title of original, if different, could be recorded in 775/776$t)</td>
</tr>
<tr>
<td>250 Edition</td>
<td>Reproduction</td>
</tr>
<tr>
<td>(Edition of original in 775/776$b)</td>
<td></td>
</tr>
<tr>
<td>Data element (MARC 21) Current practice RDA 260 Publication, etc.</td>
<td>Reproduction (Publication, etc., information of original in 775/776$d)</td>
</tr>
<tr>
<td>300 Physical description</td>
<td>Reproduction</td>
</tr>
<tr>
<td>(Extent of original in 775/776$h, and could be added as subunits in 300$a)</td>
<td></td>
</tr>
<tr>
<td>490 Series statement</td>
<td>Reproduction</td>
</tr>
<tr>
<td>(Series statement for original in 775/776$k)</td>
<td></td>
</tr>
<tr>
<td>533 Reproduction note</td>
<td>Not applicable</td>
</tr>
<tr>
<td>775 Other edition entry</td>
<td>Use to record attributes of the original on a record for the reproduction, and vice versa</td>
</tr>
<tr>
<td>776 Additional physical form entry</td>
<td></td>
</tr>
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<td>Current practice</td>
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<td>------------------------</td>
<td>------------------</td>
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<td>Original (place of reproduction could be coded in 533 $7, but this subfield is not used at LC)</td>
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</table>
Reproductions

AACR2

245 $a Federal orrery $h [microform].

RDA

245 $a Federal orrery.
Example: New Style Reproduction

<table>
<thead>
<tr>
<th>Tag</th>
<th>I1</th>
<th>I2</th>
<th>Subfield Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>906</td>
<td></td>
<td></td>
<td>‡a 7 ‡b cbc ‡c orignew ‡d u ‡e ncip ‡f 19 ‡g y-genmicro</td>
</tr>
<tr>
<td>010</td>
<td></td>
<td></td>
<td>‡a tes93627144-RDA (Identifier and Structured Description (Reproduction-776))</td>
</tr>
<tr>
<td>040</td>
<td></td>
<td></td>
<td>‡a DLC ‡c DLC ‡e rda</td>
</tr>
<tr>
<td>050</td>
<td>0</td>
<td>0</td>
<td>‡a Microfilm 93/4610 (E)</td>
</tr>
<tr>
<td>100</td>
<td>1</td>
<td></td>
<td>‡a Ringwalt, J. Luther ‡q (John Luther)</td>
</tr>
<tr>
<td>245</td>
<td>1</td>
<td>0</td>
<td>‡a Anecdotes of General Ulysses S. Grant : ‡b illustrating his military and political career and his personal traits / ‡c by J.L. Ringwalt.</td>
</tr>
<tr>
<td>300</td>
<td></td>
<td></td>
<td>‡a 1 microfilm reel (118 pages) ; ‡c 35 mm.</td>
</tr>
<tr>
<td>336</td>
<td></td>
<td></td>
<td>‡a text ‡2 marcccontent</td>
</tr>
<tr>
<td>337</td>
<td></td>
<td></td>
<td>‡a microform ‡2 marccmedia</td>
</tr>
<tr>
<td>338</td>
<td></td>
<td></td>
<td>‡a microfilm reel ‡2 marccarrier</td>
</tr>
<tr>
<td>776</td>
<td>0</td>
<td></td>
<td>‡1 Reproduction of (manifestation); ‡a Ringwalt, J. Luther (John Luther) ‡t Anecdotes of General Ulysses S. Grant ‡d Philadelphia : J.B. Lippincott Company, 1886 ‡h 118 pages ; 18 cm. ‡n Call number of original: E672.R58. ‡w (DLC) 10032686</td>
</tr>
<tr>
<td>852</td>
<td></td>
<td></td>
<td>‡8 Master microform: ‡a Library of Congress ‡b Photoduplication Service ‡e 101 Independence Ave., SE, Washington, D.C. 20540 USA</td>
</tr>
</tbody>
</table>

Reconsidering..., 2010, p. 13
Example: Original reciprocal link

<table>
<thead>
<tr>
<th>Tag</th>
<th>1</th>
<th>2</th>
<th>Subfield Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>036</td>
<td></td>
<td></td>
<td>‡a 108331</td>
</tr>
<tr>
<td>906</td>
<td>‡a 7</td>
<td>‡b cbc</td>
<td>‡c orignew</td>
</tr>
<tr>
<td>010</td>
<td>‡a The 10032685 (Structured Description, with Identifier (original-775))</td>
<td></td>
<td></td>
</tr>
<tr>
<td>040</td>
<td>‡a DLC</td>
<td>‡c DLC</td>
<td>‡e rda</td>
</tr>
<tr>
<td>050</td>
<td>0</td>
<td>0</td>
<td>‡a E672</td>
</tr>
<tr>
<td>100</td>
<td>1</td>
<td></td>
<td>‡a Ringwalt, J. Luther</td>
</tr>
<tr>
<td>245</td>
<td>1</td>
<td>0</td>
<td>‡a Anecdotes of General Ulysses S. Grant: ‡b illustrating his military and political career and his personal traits / ‡c by J.L. Ringwalt</td>
</tr>
<tr>
<td>260</td>
<td>‡a Philadelphia: ‡b J.B. Lippincott Company, ‡c 1886.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>300</td>
<td>‡a 118 pages; ‡c 18 cm.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>336</td>
<td>‡a text</td>
<td>‡2 marccollection</td>
<td></td>
</tr>
<tr>
<td>337</td>
<td>‡a unmediated</td>
<td>‡2 marccollection</td>
<td></td>
</tr>
<tr>
<td>338</td>
<td>‡a volume</td>
<td>‡2 marccollection</td>
<td></td>
</tr>
<tr>
<td>776</td>
<td>0</td>
<td></td>
<td>‡i Reproduced as: ‡a Ringwalt, J. Luther (John Luther) ‡t Anecdotes of General Ulysses S. Grant ‡d Washington, D.C.: Library of Congress Preservation Microfilming Program : Available from the Library of Congress Photoduplication Service, 1993. ‡n 1 microfilm reel; 36 mm. ‡w (DLC) 93627144</td>
</tr>
</tbody>
</table>

Reconsidering..., 2010, p. 14
Summary of LC Recommendation

- Key recommendations:
  - Catalog manifestation-in-hand
  - Use 775/776 to express reproduction relationship in structured format
  - Use “Reproduction of” / “Reproduced as”
  - Assume reproducing agency is the publisher, unless otherwise determined
  - LC will begin doing so with the RDA test (NOW!)
But there is still a catch

- “Note that LC had already adopted an approach for its own digitization projects to reflect the details of digitization on the record for the original material rather than creating a separate record for the digital manifestation. LC does not plan to revisit that decision at this time.” p.277

- And perhaps more *special cases* will creep in
Conclusions

- Support cataloging manifestation-in-hand
- Systems can link data much better than in the past
  - But still don’t make good enough use of our structured data (e.g. limiting by date)
- Still can’t seem to agree on a consistent approach, which is best for catalogers and users
Our Recommendations

- Need to become even more consistent about approach to treatment of reproductions
- Consider additional ways of emphasizing reproduction or other bibliographic relationships
  - FRBR displays
  - Form subject subdivisions (Thomas and Chavez)
Key Sources


Thank you!

- Kevin Furniss
- Serials and Electronic Resources Catalog Librarian
- Tulane University
- kfurniss@tulane.edu

- Morag Boyd
- Head, Special Collections Cataloging
- The Ohio State University
- boyd.402@osu.edu